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### **Adverse Action Classification Codes – Individual Subjects**

### **Clinical Privileges (Also Includes Peer-Reviewed Panel Membership Actions)**

Clinic	al Privileges — Actions
1610	Revocation of Clinical Privileges
1630	Suspension of Clinical Privileges
1632	
1635	Voluntary Surrender of Clinical Privilege(s), While Under, or to Avoid, Investigation Relating to Professional
	Competence or Conduct
1640	Reduction of Clinical Privileges
1645	Other Restriction/Limitation of Clinical Privileges, Specify,
1650	Denial of Clinical Privileges
Clinic	al Privileges — Revisions to Actions (No Basis for Action Code Required)
1635	Voluntary Surrender of Clinical Privilege(s), While Under, or to Avoid, Investigation Relating to Professional
	Competence or Conduct
1680	Clinical Privileges Restored or Reinstated, Complete
1681	Clinical Privileges Restored or Reinstated, Conditional
1689	Clinical Privileges Restoration or Reinstatement Denied
1690	Reduction of Previous Action
1695	Extension of Previous Action

### **Health Plan Action**

Health	Plan Action — Actions	
1920	Contract Termination	
1930	Suspension of Contract	
1932	Administrative Fine/Monetary Penalty	
1941	Employment Termination	
1942	Employment Suspension	
1950	Denial of Contract Application or Renewal	
1989	Other Health Plan Action, Specify,	
	· 1 2/	
Health Plan Action — Revisions to Actions (No Basis for Action Code Required)		
1990	Reinstatement	
1992	Reinstatement Denied	
1995	Reduction of Previous Action	
1996	Extension of Previous Action	
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### **Exclusion or Debarment**

### **Exclusion or Debarment — Actions**

- 1500 Debarment From Federal Programs
- 1505 Exclusion From a Federal Health Care Program
- 1507 Exclusion From a State Health Care Program
- 1508 Exclusion From Medicare, Medicaid and All Other Federal Health Care Programs<sup>1</sup>
- 1509 Exclusion From Medicare and State Health Care Programs<sup>1</sup>

### Exclusion or Debarment — Revisions to Actions (No Basis for Action Codes Required)

- 1515 Reinstatement
- 1516 Reinstatement Denied

All other reporters of Exclusion or Debarment actions may select any available Adverse Action Classification Code alone or in combination except for the two codes noted above.

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<sup>&</sup>lt;sup>1</sup> These codes are for the HHS Office of Inspector General (OIG) use only. In cases in which the HHS OIG submits an Exclusion or Debarment action, the HHS OIG may not select multiple Adverse Action Classification Codes when reporting either of the following two codes: Exclusion from Medicare, Medicaid and all other Federal Health Care Programs, or Exclusion from Medicare and State Health Care Programs. Additional actions should be submitted in separate reports.





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### **Federal or State Licensure**

#### Licensure — Actions 1110 Revocation of License Probation of License 1125 1135 Suspension of License Summary or Emergency Suspension of License (NPDB Only)<sup>1</sup>- (This code is only valid for State 1139 licensure actions against physicians and dentists and must be based on the professional competence or conduct of the subject.) 1140 Reprimand or Censure 1145 Voluntary Surrender of License 1147 Limitation or Restriction on License 1148 Denial of License Renewal 1149 Denial of Initial License (HIPDB Only) 1,2 1173 Publicly Available Fine/Monetary Penalty<sup>2</sup> 1189 Publicly Available Negative Action or Finding (HIPDB Only), Specify, 1199 Other Licensure Action - Not Classified, Specify, \_ Licensure — Revisions to Actions (No Basis for Action Codes Required) 1145 Voluntary Surrender of License 1280 License Restored or Reinstated, Complete 1282 License Restored or Reinstated, Conditional 1285 License Restoration or Reinstatement Denied 1295 Reduction of Previous Action 1296 Extension of Previous Action

When reporting on a subject other than a practitioner, the DEA may select any Federal Licensure Adverse Action Classification Code singly or in combination.

All other reporters submitting a Federal Licensure action may select any available Adverse Action Classification Code alone or in combination for any type of subject.

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<sup>&</sup>lt;sup>1</sup> **State Licensure Action** - For State Licensure actions in which the subject is a physician or dentist or medical or dental resident, you may not select multiple Adverse Action Classification Codes when reporting any one of the following codes: 1139 Summary or Emergency Suspension, 1149 Denial of Initial License, or 1189 Publicly Available Negative Action or Finding. Additional actions should be submitted in separate reports. All other codes may be selected in combination. If the subject is not a physician or dentist or medical or dental resident, you may select any Adverse Action Classification Code available, in any combination, up to the five allowable codes.

<sup>&</sup>lt;sup>2</sup> Federal Licensure Action – In cases in which the Drug Enforcement Administration (DEA) submits a Federal Licensure action on a health care practitioner, the DEA may not select multiple Adverse Action Classification Codes when reporting any one of the following codes: 1149 Denial of Initial License, 1173 Publicly Available Fine/Monetary Penalty, or 1189 Publicly Available Negative Action or Finding. Additional actions should be submitted in separate reports.





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### **Government Administrative**

#### Government Administrative — Actions Termination of Medicare or Other Federal Health Care Program Participation Voluntary Termination of Medicare or Other Federal Health Care Program Participation After Notification 1512 of Investigation or Disciplinary Action 1513 Nonrenewal of Medicare or Other Federal Health Care Program Participation Agreement for Cause Voluntary Termination of Medicaid or Other State Health Care Program Participation After Notification of 1517 Investigation or Disciplinary Action 1518 Nonrenewal of Medicaid or Other State Health Care Program Participation Agreement for Cause 1520 **Contract Termination** 1530 Civil Money Penalty Administrative Fine/Monetary Penalty 1532 1550 Disqualification of Clinical Investigator From Receiving Investigational Products 1551 Termination of Medicaid or Other State Health Care Program Participation 1555 Employment Disqualification Based on Finding in State Nurse Aide Registry 1560 Personnel Action - Employee Termination Personnel Action - Employee Suspension 1562 1565 Personnel Action - Not Classified 1589 Other Action - Not Classified, Specify, Government Administrative — Revisions to Actions (No Basis for Action Code Required) 1590 Reinstatement 1592 Reinstatement Denied 1595 Reduction of Previous Action 1596 Extension of Previous Action

### **Professional Society**

	Profes	ssional Society — Actions
ı	1710	Revocation of Professional Society Membership
ı		
ı	1730	Suspension of Professional Society Membership
ı	1745	Other Restriction/Limitation on Professional Society Membership, Specify,
ı	1750	Denial of Professional Society Membership (Subsequent)
ı		
Professional Society — Revisions to Actions (No Basis for Action Code Required)		
ı	1780	Membership Reinstated, Complete
ı	1781	Membership Reinstated, Conditional
	1789	Membership Reinstatement Denied
	1790	Reduction of Previous Action
ı	1795	Extension of Previous Action
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